



# COMPLIANCE RISK

## UNDERSTANDING THE RULES

Effective oversight of consumer laws and regulations can reduce the risk of financial loss, ensure quality service for customers, and preserve a sound reputation.

Directors must recognize the scope and implications of the legislation and consumer protection regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act.

This one-day workshop focuses on compliance red flags, hot topics, and common OCC examination findings related to compliance risk.

Be ready to respond to the rapidly changing financial services industry and to fulfill your responsibilities with confidence.

Register to attend this one-day workshop for \$99.

### DON'T DELAY. REGISTER TODAY!

The workshop is limited to the first 35 directors and senior managers who register.

**\$99**

## UPCOMING WORKSHOPS

**CLEVELAND, OH**  
May 10, 2023

**SAN ANTONIO, TX**  
September 26, 2023

**SANTA ANA, CA**  
October 3, 2023

**SYRACUSE, NY**  
TBD



Register at  
[occ.gov/occworkshops](https://occ.gov/occworkshops)



Work with and learn from experienced OCC examiners who lead this workshop, which includes discussions of best practices, lectures, and hands-on exercises for directors and senior management of national community banks and federal savings associations.



Understand the critical elements of an effective compliance risk management program



Learn about common OCC examination findings related to compliance risk.



Identify compliance red flags and hot topics.



Review major compliance risks and critical regulations.

### **\$99 COST INCLUDES:**

Workshop Materials  
OCC's Director's Book  
Lunch



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After registration is confirmed, call the hotel or book online to reserve your room. Tell the hotel you are attending the OCC Community Bank Director and Senior Management Workshop.

If you encounter difficulties in making reservations, select *Contact Us* on the registration page for assistance.

### **CLEVELAND, OH\***

May 10, 2023

**DoubleTree Cleveland Downtown-Lakeside**

Hotel Reservations: (216) 241-5100

Discounted Room Rate: \$159.00

For discounted rate, book by April 17, 2023

### **SAN ANTONIO, TX\***

September 26, 2023

Coming Soon

### **SANTA ANA, CA\***

October 3, 2023

Coming Soon

### **SYRACUSE, NY\***

TBD

Coming Soon

\* Consecutive Session with the Credit Risk and/or Operational Risk Workshops. Participants may attend one or more of these sessions.